

**Full Paper**

## Generalisation of certain subclasses of analytic and bi-univalent functions

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**Abstract:** In this paper we introduce and investigate two new subclasses  $\mathbf{H}_{\Sigma}^q(\alpha, \lambda)$  and  $\mathbf{H}_{\Sigma}^q(\beta, \lambda)$  of analytic and bi-univalent functions in the open unit disk  $\mathbf{U}$ . Furthermore, we find non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  for functions in these new subclasses.

**Keywords:** analytic functions, univalent functions, bi-univalent functions, coefficient bounds, coefficient estimates,  $q$ -derivative operator

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### INTRODUCTION

Let  $\mathbf{A}$  be the class of all functions  $f$  that are analytic in the open unit disk:

$$\mathbf{U} := \{z : z \in \mathbf{C} \quad \text{and} \quad |z| < 1\}$$

and normalised by

$$f(0) = 0 = f'(0) - 1.$$

In other words, the functions  $f$  in  $\mathbf{A}$  have the Taylor-Maclaurin series expansion:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (z \in \mathbf{U}). \quad (1)$$

Furthermore, by  $\mathbf{S} \subset \mathbf{A}$  we shall denote the class of all functions which are univalent in  $\mathbf{U}$ . For two functions  $f$  and  $g$  which are analytic in  $\mathbf{U}$ , we say that the function  $f$  is subordinate to the function  $g$  and write

$$f(z) \prec g(z) \quad (z \in \mathbf{U})$$

if there exists a function

$$w \in \mathbf{B}_0,$$

where

$$\mathbf{B}_0 = \{w \in \mathbf{A} : w(0) = 0, |w(z)| < 1 \quad (z \in \mathbf{U})\},$$

such that

$$f(z) = g(w(z)) \quad (z \in \mathbf{U}).$$

If  $g$  is univalent in  $\mathbf{U}$ , then it follows that

$$f(z) \prec g(z) \quad (z \in \mathbf{U}), \Rightarrow f(0) = 0 \text{ and } f(\mathbf{U}) \subset g(\mathbf{U}).$$

Moreover, for the functions  $f \in \mathbf{A}$  given by (1) and  $g \in \mathbf{A}$  given by

$$g(z) = z + \sum_{n=2}^{\infty} b_n z^n \quad (z \in \mathbf{U}),$$

the convolution (or Hadamard product) of  $f$  and  $g$  is defined by

$$(f * g)(z) = z + \sum_{n=2}^{\infty} a_n b_n z^n. \quad (2)$$

We next denote by  $\mathbf{P}$  the class of analytic functions  $p$  which are normalised by

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n, \quad (3)$$

such that

$$\operatorname{Re} p(z) > 0.$$

Furthermore, it is well known that every univalent function  $f$  has an inverse  $f^{-1}$ , defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathbf{U})$$

and

$$f(f^{-1}(w)) = w \quad \left( |w| < r_0(f), r_0(f) \geq \frac{1}{4} \right),$$

where

$$g(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots \quad (4)$$

A function  $f \in \mathbf{A}$  is said to be bi-univalent in  $\mathbf{U}$  if both  $f$  and  $f^{-1}$  are univalent in  $\mathbf{U}$ . We denote the class of all such functions by  $\Sigma$ . The pioneering work of Srivastava et al. [1] actually revived the study of bi-univalent functions in recent years. In a substantially large number of work subsequent to the work of Srivastava et al. [1], several distinct subclasses of the bi-univalent function class were presented and examined similarly by many authors. For example, the function classes  $H_{\Sigma}(\tau, \mu, \lambda, \delta; \alpha)$  and  $H_{\Sigma}(\tau, \mu, \lambda, \gamma; \beta)$  were defined and the estimates on the Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  were obtained by Srivastava et al [2]. The upper bounds for the second Hankel determinant for certain subclasses of analytic and bi-univalent functions were obtained by Caglar et al [3]. Several new subclasses of the class of  $m$ -fold symmetric bi-univalent functions were introduced and the initial estimates of the Taylor-Maclaurin series as well as some Fekete-Szegő functional problems for each of their defined function classes were obtained by Tang et al. [4] and Srivastava et al [5]. Several other well-known mathematicians gave their findings on this subject [e.g. 6-16].

We now recall some basic definitions and concept details of the  $q$ -calculus which are used in this paper. We suppose throughout the paper that  $0 < q < 1$  and

$$\mathbb{N} = \{1, 2, 3, \dots\} = \mathbb{N}_0 \setminus \{0\} \quad (\mathbb{N}_0 := \{0, 1, 2, 3, \dots\}).$$

**Definition 1.** Let  $q \in (0, 1)$  and define the  $q$ -number  $[\lambda]_q$  by

$$[\lambda]_q = \begin{cases} \frac{1-q^\lambda}{1-q} & (\lambda \in \mathbb{C}) \\ \sum_{k=0}^{n-1} q^k = 1 + q + q^2 + \dots + q^{n-1} & (\lambda = n \in \mathbb{N}). \end{cases}$$

**Definition 2.** Let  $q \in (0, 1)$  and define the  $q$ -factorial  $[n]_q!$  by

$$[n]_q! = \begin{cases} 1 & (n = 0) \\ \prod_{k=1}^n [k]_q & (n \in \mathbb{N}). \end{cases}$$

**Definition 3** [17,18]. The  $q$ -derivative (or  $q$ -difference)  $D_q$  of a function  $f$  is defined in a given subset of  $\mathbb{C}$  by

$$D_q f(z) = \begin{cases} \frac{f(qz) - f(z)}{(q-1)z} & (z \neq 0) \\ f'(0) & (z = 0). \end{cases} \quad (5)$$

We note from Definition 3 that the difference operator  $D_q f$  converges to the ordinary differential operator:

$$\lim_{q \rightarrow 1^-} (D_q f)(z) = \lim_{q \rightarrow 1^-} \frac{f(qz) - f(z)}{(1-q)z} = f'(z)$$

for a differentiable function  $f$  in a given subset of  $\mathbb{C}$ . It is readily deduced from (1) and (5) that

$$D_q f(z) = 1 + \sum_{n=2}^{\infty} a_n [n]_q z^{n-1}.$$

In Geometric Function Theory several subclasses belonging to the class of normalised analytic functions  $\mathbf{A}$  have been examined already. The  $q$ -calculus defined above provides significant tools that have been widely used for investigating several subclasses of  $\mathbf{A}$ . Ismail et al. [19] were the first to use the  $q$ -derivative operator  $D_q$  in order to study a certain  $q$ -analogue of the class  $S^*$  of starlike functions in  $\mathbf{U}$ . In fact, historically speaking, a remarkably significant usage of the  $q$ -calculus in the context of Geometric Function Theory of Complex Analysis was basically furnished and the basic (or  $q$ -) hypergeometric functions were first used in Geometric Function Theory in a book chapter by Srivastava [20]. See also Srivastava and Bansal [21].

Motivated by the work of Frasin [12], Bulut [22] and the above-mentioned work, we here introduce two new subclasses of the function class  $\Sigma$  and find non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  for functions in these new subclasses of the function class  $\Sigma$ .

In order to derive our main results, the following Lemma will be required.

**Lemma 1** [23]. If  $p \in \mathbf{P}$ , then  $|p_k| \leq 2$  for each  $k$ , where  $\mathbf{P}$  is the family of all functions  $p$  analytic in  $\mathbf{U}$  for which

$$\operatorname{Re}(p(z)) > 0 \quad \text{and} \quad p(z) = 1 + p_1 z + p_2 z^2 + \dots$$

for  $z \in \mathbf{U}$ .

Throughout in this paper, we assume that

$$0 < \beta \leq 1 \quad 0 < \alpha \leq 1 \quad \text{and} \quad \lambda \geq 0.$$

### COEFFICIENT BOUNDS FOR FUNCTION CLASS $\mathbf{H}_\Sigma^q(\alpha, \lambda)$

**Definition 4.** A function  $f \in \mathbf{A}$  of the form given by (1) is in the function class  $\mathbf{H}_\Sigma^q(\alpha, \lambda)$  if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad \left| \arg(D_q f(z) + z\lambda D_q(D_q f(z))) \right| < \frac{\alpha\pi}{2} \quad (z \in \mathbf{U}) \quad (6)$$

and

$$\left| \arg(D_q g(w) + w\lambda D_q(D_q g(w))) \right| < \frac{\alpha\pi}{2} \quad (w \in \mathbf{U}), \quad (7)$$

where function  $g$  is given by (4).

**Remark 1.** Firstly, it is easily seen that

$$\lim_{q \rightarrow 1^-} \mathbf{H}_\Sigma^q(\alpha, \lambda) = \mathbf{H}_\Sigma(\alpha, \lambda),$$

where  $\mathbf{H}_\Sigma(\alpha, \lambda)$  is the function class introduced and studied by Frasin [12]. Secondly, we have

$$\lim_{q \rightarrow 1^-} \mathbf{H}_\Sigma^q(\alpha, 0) = \mathbf{H}_\Sigma^q(\alpha) = \mathbf{H}_\Sigma^\alpha,$$

where  $\mathbf{H}_\Sigma^\alpha$  is the function class introduced and studied by Srivastava et al. [13]. Thirdly,

$$\mathbf{H}_\Sigma^q(\alpha, 0) = \mathbf{H}_\Sigma^q(\alpha) = \mathbf{H}_\Sigma^{q,\alpha},$$

where  $\mathbf{H}_\Sigma^{q,\alpha}$  is the function class introduced and studied by Bulut [22].

**Theorem 1.** Let the function  $f \in \mathbf{A}$  of the form given by (1) be in the function class  $\mathbf{H}_\Sigma^q(\alpha, \lambda)$ . Then

$$|a_2| \leq \frac{2\alpha}{\sqrt{2[3]_q(1+\lambda[2]_q)\alpha - [2]_q^2(1+\lambda)^2(\alpha-1)}} \quad (8)$$

and

$$|a_3| \leq \frac{4\alpha^2}{[2]_q^2(1+\lambda)^2} + \frac{2\alpha}{[3]_q(1+[2]_q\lambda)}. \quad (9)$$

**Proof.** It can be seen from conditions (6) and (7) that

$$D_q f(z) + z\lambda D_q(D_q f(z)) = [P(z)]^\alpha \quad (10)$$

and

$$D_q g(w) + w\lambda D_q(D_q g(w)) = [Q(w)]^\alpha, \quad (11)$$

where

$$P(z) = 1 + p_1 z + p_2 z^2 + \dots \quad \text{and} \quad Q(w) = 1 + q_1 w + q_2 w^2 + \dots$$

in  $\mathbf{P}$ . Now equating the coefficients in (10) and (11), we have

$$[2]_q(1+\lambda)a_2 = \alpha p_1, \quad (12)$$

$$[3]_q(1 + \lambda[2]_q)a_3 = \alpha p_2 + \frac{\alpha(\alpha - 1)}{2} p_1^2, \quad (13)$$

$$-[2]_q(1 + \lambda)a_2 = \alpha q_1 \quad (14)$$

and

$$[3]_q(1 + \lambda[2]_q)(2a_2^2 - a_3) = \alpha q_2 + \frac{\alpha(\alpha - 1)}{2} q_1^2. \quad (15)$$

From (12) and (14), we have

$$p_1 = -q_1 \quad (16)$$

and

$$2[2]_q^2(1 + \lambda)^2 a_2^2 = \alpha^2(p_1^2 + q_1^2). \quad (17)$$

Also, from (13), (15) and (17), we find, after some simplification, that

$$a_2^2 = \frac{\alpha^2}{(2[3]_q(1 + \lambda[2]_q))\alpha - [2]_q^2(1 + \lambda)^2(1 - \alpha)}(p_2 + q_2). \quad (18)$$

Finally, by applying Lemma 1 in conjunction with (18), we obtain the desired estimate on the coefficient  $|a_2|$  as stated in (8). Next, in order to prove (9), we subtract (15) from (13). Indeed, we find that

$$2[3]_q(1 + \lambda[2]_q)a_3 - 2[3]_q(1 + \lambda[2]_q)a_2^2 = \alpha(p_2 - q_2) + \frac{\alpha(\alpha - 1)}{2}(p_1^2 - q_1^2). \quad (19)$$

It follows from (16), (17) and (19) that

$$a_3 = \frac{\alpha^2(p_1^2 + q_1^2)}{2[2]_q^2(1 + \lambda)^2} + \frac{\alpha(p_2 - q_2)}{2[3]_q(1 + \lambda[2]_q)}. \quad (20)$$

Finally, by using Lemma 1 and (20), we find the desired estimate on the coefficient  $|a_3|$  as stated in (9).

**Remark 2.** By substituting  $\lambda = 0$  in Theorem 1, we obtain the coefficient bounds for  $|a_2|$  and  $|a_3|$  given by Bulut [22]. Then by putting  $\lambda = 0$  and letting  $q \rightarrow 1^-$ , we have the following known result.

**Corollary 1** [13]. Let function  $f(z)$  given by the Taylor-Maclaurin series expansion (1) be in the class  $\mathbf{H}_\Sigma^\alpha$  ( $0 \leq \alpha \leq 1$ ). Then

$$|a_2| \leq \alpha \sqrt{\frac{2}{\alpha + 2}} \quad \text{and} \quad |a_3| \leq \frac{\alpha(3\alpha + 2)}{3}.$$

**Theorem 2.** Let function  $f \in \mathbf{H}_\Sigma^q(\alpha, \lambda)$  and be of the form given by (1). Then

$$|a_3 - \mu a_2^2| \leq \begin{cases} 4|h(\mu)| & |\mu - 1| \geq \left| 1 - \frac{[2]_q^2(1 + \lambda)^2(1 - \alpha)}{2[3]_q(1 + \lambda[2]_q)\alpha} \right| \\ \frac{2\alpha}{[3]_q(1 + \lambda[2]_q)} & |\mu - 1| \leq \left| 1 - \frac{[2]_q^2(1 + \lambda)^2(1 - \alpha)}{2[3]_q(1 + \lambda[2]_q)\alpha} \right| \end{cases} \quad (21)$$

where

$$h(\mu) = \frac{(\mu - 1)\alpha^2}{2[3]_q(1 + \lambda[2]_q)\alpha - [2]_q^2(1 + \lambda)^2(1 - \alpha)}. \quad (22)$$

**Proof.** We can show that the inequalities in (21) hold true for  $f \in \mathbf{H}_{\Sigma}^q(\alpha, \lambda)$ . After some straightforward simplification of (17), (18) and (19), the following is obtained :

$$a_3 - \mu a_2^2 = \left( h(\mu) + \frac{\alpha}{2[3]_q(1 + \lambda[2]_q)} \right) p_2 + \left( h(\mu) - \frac{\alpha}{2[3]_q(1 + \lambda[2]_q)} \right) q_2, \quad (23)$$

where  $h(\mu)$  is given by (22). From (23), we now conclude the assertion of our Theorem.

### COEFFICIENT BOUNDS FOR FUNCTION CLASS $\mathbf{H}_{\Sigma}^q(\beta, \lambda)$

**Definition 5.** A function  $f \in \mathbf{A}$  of the form given by (1) is in the function class  $\mathbf{H}_{\Sigma}^q(\beta, \lambda)$  if the following conditions are satisfied:

$$f \in \Sigma \text{ and } \operatorname{Re}(D_q f(z) + z\lambda D_q(D_q f(z))) > \beta \quad (z \in \mathbf{U}) \quad (24)$$

and

$$\operatorname{Re}(D_q g(w) + w\lambda D_q(D_q g(w))) > \beta \quad (w \in \mathbf{U}), \quad (25)$$

where function  $g$  is define by (4).

**Remark 3.** Firstly, it is readily observed that

$$\lim_{q \rightarrow 1^-} \mathbf{H}_{\Sigma}^q(\beta, \lambda) = \mathbf{H}_{\Sigma}(\beta, \lambda),$$

where  $\mathbf{H}_{\Sigma}(\beta, \lambda)$  is the function class introduced and studied by Frasin [12]. Secondly, we have

$$\lim_{q \rightarrow 1^-} \mathbf{H}_{\Sigma}^q(\beta, 0) = \mathbf{H}_{\Sigma}(\beta),$$

where  $\mathbf{H}_{\Sigma}(\beta)$  is the function class introduced and studied by Srivastava et al. [13]. Thirdly,

$$\mathbf{H}_{\Sigma}^q(\beta, 0) = \mathbf{H}_{\Sigma}^q(\beta),$$

where  $\mathbf{H}_{\Sigma}^q(\beta)$  is the function class introduced and studied by Bulut [22].

**Theorem 3.** Let the function  $f \in \mathbf{A}$  of the form given by (1) be in the function class  $\mathbf{H}_{\Sigma}^q(\beta, \lambda)$ . Then

$$|a_2| \leq \min \left( \frac{2(1-\beta)}{[2]_q(1+\lambda)}, \sqrt{\frac{2(1-\beta)}{[3]_q(1+\lambda[2]_q)}} \right) \quad (26)$$

and

$$|a_3| \leq \frac{2(1-\beta)}{[3]_q(1+\lambda[2]_q)}. \quad (27)$$

**Proof.** Firstly, it follows from conditions (24) and (25) that

$$D_q f(z) + z\lambda D_q(D_q f(z)) = \beta + (1-\beta)P(z) \quad (z \in \mathbf{U}) \quad (28)$$

and

$$D_q g(w) + w\lambda D_q(D_q g(w)) = \beta + (1-\beta)Q(w) \quad (w \in \mathbf{U}), \quad (29)$$

where

$$P(z) = 1 + p_1 z + p_2 z^2 + \dots \text{ and } Q(w) = 1 + q_1 w + q_2 w^2 + \dots$$

in  $\mathbf{P}$ . Now equating the coefficients in (28) and (29), we have

$$[2]_q(1+\lambda)a_2 = (1-\beta)p_1, \quad (30)$$

$$[3]_q(1+\lambda[2]_q)a_3 = (1-\beta)p_2, \quad (31)$$

$$-[2]_q(1+\lambda)a_2 = (1-\beta)q_1 \quad (32)$$

and

$$[3]_q(1 + \lambda[2]_q)(2a_2^2 - a_3) = (1 - \beta)q_2. \quad (33)$$

From (30) and (32) we have

$$p_1 = -q_1 \quad (34)$$

and

$$2[2]_q^2(1 + \lambda)^2 a_2^2 = (1 - \beta)^2(p_1^2 + q_1^2). \quad (35)$$

Also, from (31) and (33) we have

$$2[3]_q(1 + \lambda[2]_q)a_2^2 = (1 - \beta)(p_2 + q_2). \quad (36)$$

Finally, by applying Lemma 1 in conjunction with (35) and (36), we obtain the desired estimate on the coefficient  $|a_2|$  as stated in (26).

Next, in order to prove (27) we subtract (33) from (31). We have

$$2[3]_q(1 + \lambda[2]_q)a_3 - 2[3]_q(1 + \lambda[2]_q)a_2^2 = (1 - \beta)(p_2 + q_2), \quad (37)$$

which, upon substitution of the value of  $a_2^2$  from (35), yields

$$a_3 = \frac{(1 - \beta)(p_1^2 + q_1^2)}{2[2]_q^2(1 + \lambda)^2} + \frac{(1 - \beta)(p_2 + q_2)}{2[3]_q(1 + \lambda[2]_q)}. \quad (38)$$

On the other hand, by using the equation (36) on (37), we have

$$a_3 = \frac{(1 - \beta)(p_2)}{2[3]_q(1 + \lambda[2]_q)}. \quad (39)$$

Finally, by applying Lemma 1 to (38) and (39), we obtain the desired estimate on the coefficient  $|a_3|$  as stated in (27).

Taking  $\lambda = 0$ , we obtain the following known result.

**Corollary 2** [22]. Let the function  $f \in \mathbf{A}$  of the form given by (1) be in the function class  $\mathbf{H}_\Sigma^q(\beta)$ . Then

$$|a_2| \leq \min\left(\frac{2(1 - \beta)}{[2]_q}, \sqrt{\frac{2(1 - \beta)}{[3]_q}}\right)$$

and

$$|a_3| \leq \frac{2(1 - \beta)}{[3]_q}.$$

## CONCLUSIONS

This research work presents some properties of certain new subclasses of analytic and bi-univalent functions in the open unit disk  $\mathbf{U}$ . Coefficient estimates for these newly function classes have been discussed. Also, we have pointed out some known results deduced from our main results.

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